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NOTICE OF ALLOWANCE AND FEE(S) DUE

86421 7590 02/15/2012 Patent Capital Group - Cisco 2816 Lago Vista Lane Rockwall TX 75032 EXAMINER
CHANKONG, DOHM

ART UNIT PAPER NUMBER

2452

DATE MAILED: 02/15/2012

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/785,884	02/15/2001	Amit Phadnis	CSCO-002 (CPOL 94701)	4202

TITLE OF INVENTION: AGGREGATION DEVICES PROCESSING KEEP-ALIVE MESSAGES OF POINT-TO-POINT SESSIONS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	S0	\$0	\$1740	05/15/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT, PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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(Depositor's name (Signature (Dat

DATE DUE

APPLICATION NO FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO 09/785 884 02/15/2001 Amit Phadnis CSCO+002 (CPOL 94701) 4202

TITLE OF INVENTION: AGGREGATION DEVICES PROCESSING KEEP-ALIVE MESSAGES OF POINT-TO-POINT SESSIONS

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EXAMINER		ART UNIT	CLASS-SUBCLASS			
CHANKONG, DOHM 2452		2452	709-223000			
I. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTOSB/12.2) attached. "Fee Address" indication (or "Fee Address" Indication form PTOSB/12.8 with 50 cm part of the PTO			or agents OR, alternativ (2) the name of a single registered attorney or a	3 registered patent attorn yely, e firm (having as a memb igent) and the names of u rneys or agents. If no nam	era 2	

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🚨 Corporation or other private group entity 🚨 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ Issue Fee A check is enclosed. ☐ Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. Advance Order - # of Copies The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time your require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patert and Trademark Officer. U.S. Peterstreat of Commerce, P.O. Box 1450, Alexandria, Virginia 2231-450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 2231-450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION N			
09/785,884	02/15/2001	Amit Phadnis	CSCO-002 (CPOL 94701) 4202			
86421 75	90 02/15/2012	EXAMINER				
Patent Capital Gr	oup - Cisco	CHANKONG, DOHM				
2816 Lago Vista Lane						
Pockwall TV 7503	32	ART UNIT	PAPER NUMBER			

2452 DATE MAILED: 02/15/2012

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1880 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1880 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2): (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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 records may be disclosed to the Department of Justice to determine whether disclosure of these
 records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement necotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his-fher designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2004 and 2006. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Application No. Applicant(s) 09/785.884 PHADNIS ET AL. Notice of Allowability Examiner Art Unit DOHM CHANKONG 2452 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTQL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to 11/29/2011. 2. \square An election was made by the applicant in response to a restriction requirement set forth during the interview on : the restriction requirement and election have been incorporated into this action. 3. The allowed claim(s) is/are 1-53. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). b) Some* c) None of the: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 5. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. 6. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) Thereto or 2) to Paper No./Mail Date (b) I including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 7. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413). Paper No./Mail Date 3. ☐ Information Disclosure Statements (PTO/SB/08). 7. X Examiner's Amendment/Comment Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit 8. T Examiner's Statement of Reasons for Allowance of Biological Material 9. 🔲 Other /DOHM_CHANKONG/ Primary Examiner, Art Unit 2452

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Thomas Frame on February 6, 2012.

The application has been amended as follows:

1. (Currently Amended) A method comprising:

receiving in an aggregation device a plurality of keep-alive messages in a communication network:

sending an aggregated request packet which includes data indicating that a <u>plurality of statuses status</u> of point to point (PPP) sessions is requested;

receiving an aggregated reply packet from a peer aggregation device, wherein the aggregated reply packet <u>includes information indicating indicates a respective statuses</u> status of at least some of the plurality of PPP sessions, wherein content of a local status table is updated with the <u>respective statuses</u> status of the at least some of the plurality of PPP sessions;

maintaining the local status table in said aggregation device, wherein said local status table indicates [[a]] current <u>statuses</u> of sessions supported by said aggregation device;

updating said local status table with $\underline{\text{the}}$ information in said aggregated reply packet; and

generating a proxy keep-alive reply according to said local status table.

10. (Currently Amended) A method comprising:

examining an aggregated request packet to determine that a <u>plurality of statuses</u> status of a plurality of point-to-point sessions is requested;

determining the <u>plurality of statuses</u> status of each of said plurality of point-topoint (PPP) sessions;

generating an aggregated reply packet indicating the status plurality of statuses of said plurality of point-to- point sessions; and

sending said aggregated reply packet to a peer aggregation device, wherein the aggregated reply packet indicates a including information indicating respective statuses status of at least some of the plurality of PPP sessions, wherein content of a remote status table is updated with the respective statuses status of the at least some of the plurality of PPP sessions, wherein a local status table is maintained and indicates [[a]] current statuses status of sessions supported by the aggregation device, and wherein the local status table is updated with the information in the aggregated reply packet, and wherein a proxy keep-alive reply message is generated according to the local status table.

15. (Currently Amended) An aggregation device for processing a plurality of keepalive messages generated by a corresponding plurality of end systems, comprising: an input interface receiving said plurality of keep-alive messages;

a message aggregator coupled to said input interface, said message aggregator examining said plurality of messages and generating data according to a format indicating that a plurality of statuses status of point to point (PPP) sessions is requested;

an output interface sending an aggregated request packet on a communication network to a peer aggregation device, said aggregated request packet containing said data generated by said message aggregator, wherein the aggregation device is further configured to receive an aggregated reply packet from the peer aggregation device, wherein the aggregated reply packet includes information indicating respective statuses indicates a status of at least some of the plurality of PPP sessions, wherein content of a local status table is updated with the respective statuses status of the at least some of the plurality of PPP sessions;

an encapsulator encapsulating said data in a packet suitable for transmission on said communication network; and

a de-aggregator configured to receive the aggregated reply packet from said peer aggregation device, wherein said aggregated reply packet includes information indicating the respective statuses indicates the status of the at least some of said plurality of PPP sessions, said de-aggregator updating said remote status table with the information in said aggregated reply packet.

 (Currently Amended) An aggregation device for processing a plurality of keepalive messages generated by a corresponding plurality of end systems, comprising: means for receiving said plurality of keep-alive messages;

means for generating an aggregated request packet which includes data indicating that a <u>plurality of statuses</u> status of point to point (PPP) sessions is requested;

means for sending said aggregated request packet to a peer aggregation device; means for receiving an aggregated reply packet from the peer aggregation device, wherein the aggregated reply packet <u>includes information indicating respective statuses</u> indicates a status of at least some of the plurality of PPP sessions, wherein content of a local status table is updated with the <u>respective statuses</u> status of the at least some of the plurality of PPP sessions;

means for maintaining the local status table in said aggregation device, wherein said local status table indicates [[a]] current statuses status of sessions supported by said aggregation device;

means for updating said local status table with $\underline{\text{the}}$ information in said aggregated reply packet; and

means for generating a proxy keep-alive reply according to said local status table.

25. (Currently Amended) An aggregation device for processing an aggregated request packet, wherein said aggregated request packet is received from a peer aggregation device and indicates that a <u>plurality of statuses</u> status of a plurality of point-to-point sessions are requested, said aggregation device comprising:

means for examining said aggregated request packet to determine that the <u>plurality of statuses status</u> of said plurality of point-to-point sessions is requested;

means for determining the plurality of statuses status of each of said plurality of point-to-point sessions:

means for generating an aggregated reply packet indicating the <u>plurality of statuses status</u> of said plurality of point-to-point sessions;

means for sending said aggregated reply packet to said peer aggregation device, wherein the aggregated reply packet includes information indicating indicates a status respective statuses of at least some of the plurality of PPP sessions, wherein content of a remote status table is updated with the respective statuses status of the at least some of the plurality of PPP sessions, wherein a local status table is maintained and indicates [[a]] current statuses status of sessions supported by the aggregation device, and wherein the local status table is updated with the information in the aggregated reply packet, and wherein a proxy keep- alive reply message is generated according to the local status table.

30. (Currently Amended) An aggregated request packet, wherein said aggregated request packet, wherein said aggregated request packet is received from a peer aggregation device and indicates that a <u>plurality of statuses status</u> of a plurality of point-to-point sessions are requested, said aggregation device comprising:

an input interface configured for receiving said aggregated request packet;

a de-encapsulator configured for examining said aggregated request packet to
determine that the <u>plurality of statuses</u> status of said plurality of point-to-point (PPP)
sessions is requested:

a reply generator configured for determining the <u>plurality of statuses</u> status of each of said plurality of point-to-point sessions, and generating an aggregated reply

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packet <u>including information</u> indicating the <u>plurality of statuses</u> status of each of said plurality of point-to-point sessions; and

an output interface configured for sending said aggregated reply packet to said peer aggregation device, wherein content of a local status table is updated with the status of the PPP sessions, wherein the local status table indicates [[a]] current statuses status of particular sessions supported by the aggregation device, and wherein the local status table is updated with the information in the aggregated reply packet, and wherein a proxy keep-alive reply message is generated according to the local status table.

37. (Currently Amended) A non-transitory medium including one or more sequences of instructions, wherein execution of said one or more sequences of instructions by one or more processors causes the actions of:

receiving in an aggregation device a plurality of keep-alive messages;

generating in said aggregation device an aggregated request packet which
includes data indicating that a plurality of statuses status of PPP sessions is requested;

receiving an aggregated reply packet from a peer aggregation device, wherein the aggregated reply packet <u>includes information indicating respective statuses indicates a status</u> of at least some of the plurality of PPP sessions, wherein content of a local status table is updated with the <u>respective statuses</u> status of the at least some of the plurality of PPP sessions:

updating said remote status table with $\underline{\text{the}}$ information in said aggregated reply packet; and

generating a proxy keep-alive reply according to said remote status table.

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42. (Currently Amended) A non-transitory medium including one or more sequences of instructions, wherein execution of said one or more sequences of instructions by one or more processors causes the actions of:

examining said aggregated request packet to determine that a <u>plurality of statuses</u>

status of a plurality of point-to-point sessions is requested;

determining the <u>plurality of statuses</u> status of each of said plurality of point-topoint sessions;

 $\label{eq:continuous} generating an aggregated reply packet indicating the \underline{plurality of statuses} \, \underline{status} \, of said plurality of point-to-point sessions;$

sending said aggregated reply packet to a peer aggregation device; and receiving the aggregated reply packet from the peer aggregation device, wherein the aggregated reply packet includes information indicating respective statuses indicates a status of at least some of the plurality of PPP sessions, wherein content of a local status table is updated with the respective statuses status of the at least some of the plurality of PPP sessions, wherein the local status table indicates [[a]] current statuses status of sessions supported by the aggregation device, and wherein the local status table is updated with the information in the aggregated reply packet, and wherein a proxy keepalive reply message is generated according to the local status table.

47. (Currently Amended) A system, comprising:

a first aggregation device receiving a plurality of keep-alive messages generated by a corresponding plurality of end systems, each of said plurality of keep-alive messages being designed to request a plurality of statuses status of a corresponding a plurality of Application/Control Number: 09/785,884

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point to point (PPP) session implemented on a communication network, said first aggregation device generating an aggregated request packet which includes data indicating that the <u>plurality of statuses</u> status of said <u>plurality of PPP</u> sessions is requested, and sending said aggregated request packet; and

a peer aggregation device receiving said aggregated request packet and including information indicating the plurality of statuses status of said plurality of PPP sessions in an aggregated reply packet, said peer aggregation packet sending said aggregated reply packet to said first aggregation device, wherein content of a local status table is updated with the plurality of statuses status of the plurality of PPP sessions, wherein the local status table indicates [[a]] current statuses status of sessions supported by the first aggregation device, and wherein the local status table is updated with the information in the aggregated reply packet, and wherein a proxy keep-alive reply message is generated according to the local status table.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DOHM CHANKONG whose telephone number is (571)272-3942. The examiner can normally be reached on Monday to Friday [10 am - 6 pm].

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thu Nguyen can be reached on (571)272-6967. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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/DOHM CHANKONG/ Primary Examiner, Art Unit 2452